

JACQUES FINANCIAL, LLC

Saving for Higher Education

- 1. Client Name _____
- 2. What considerations were discussed with the owner?
 - Benefits of 529 College Savings Plan
 - Benefits of Prepaid Tuition Plan
 - In/State and Out of State Considerations
 - Income Tax Considerations
 - Financial Aid Considerations
- 3. What fund features were discussed with the owner?
 - Fund Fees and Expenses
 - Fund Performances
 - Investment Objectives and Risks
 - Share Classes
 - 529-A
 - 529-B
 - 529-C
- 4. What withdrawal guidelines were discussed with the owner?
 - Higher Education
 - Qualified Expenses
 - Scholarship
 - Transfer benefits to another family member
 - Consequences of Non Qualified Withdrawals
- 5. The owner has discussed the compensation the registered representative will receive for the sale of this product.
- 6. The owner acknowledges the purpose of this investment is to save for higher education.
- 7. The owner acknowledges delivery of Program Description and prospectus(es)

Acknowledged by:

Owner Signature

Registered Representative Signature

OSJ Principal Signature

Date

Date