

# JACQUES FINANCIAL

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**Joseph W. Jacques, CPA**  
Certified Financial Planner™

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## FINANCIAL PLANNER

**Date** \_\_\_\_\_

### Contact Information

**Name** \_\_\_\_\_  
**Address** \_\_\_\_\_  
\_\_\_\_\_  
**Phone** \_\_\_\_\_  
**E-mail** \_\_\_\_\_

**Dependents (name, age, date of birth)**

### Instructions

If any of the items in this Financial Planner pertain to you, please check the appropriate box and provide additional information if necessary.

Contact our office at 301.738.1303 to schedule or confirm your financial planning review.

### Personal Information

- Purchased or Plan to Purchase a New Home
- Sold or Plan to Sell Real Estate
- Changed or Plan to Change Marital Status
- Had or Expecting a Child or  Grandchild
- Changed or Plan to Change Employers
- Have Retirement, 403(b) or 401(k) accounts from Previous Employers
- Started or Plan to Start a Business
- Changed or Planning for Changes in Income
- Acquired or Plan to Acquire New or Additional Insurance
- Retired or Planning for Retirement
- Received or Expect to Receive an Inheritance
- Need a Will or Need to Update Your Will

**[www.joejacquescpa.com](http://www.joejacquescpa.com)**

***e-mail* [joe@joejacquescpa.com](mailto:joe@joejacquescpa.com)**

Securities offered through Jacques Financial, LLC (JFLLC) Member FINRA/SIPC.  
Advisory services offered through Jacques Advisors, LLC (JALLC) an affiliate of JFLLC.  
Joseph W. Jacques is a registered principal of JFLLC.

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## FINANCIAL PLANNER

Name \_\_\_\_\_

### Savings and Investments

- Checking and Savings Accounts
- Money Market Accounts
- Certificates of Deposit
- Mutual Funds
- Individual Stocks or Bonds
- Savings Bonds
- Tax Deferred Annuities
- Stock Option / Deferred Compensation Plans
- Primary Residence
- Real Estate (2nd Home or Rental)
- Other

Value

|       |
|-------|
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |

### Retirement

- IRAs
- ROTH IRAs
- Employer Sponsored Retirement Plans, TSPs, 403(b)s or 401(k)s
- Employer, Union, Military or Government Pensions
- Self Employed SEP, SIMPLE or Keogh Plans
- Other

Value

|       |
|-------|
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |
| _____ |

### Education

- 529 Plans
- Prepaid College Trusts
- College Investment Plans
- Other

State

Value

|       |       |
|-------|-------|
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |

# JACQUES FINANCIAL

## FINANCIAL PLANNER

Name \_\_\_\_\_

### Insurance

|                                                           | Coverage | Value |
|-----------------------------------------------------------|----------|-------|
| <input type="checkbox"/> Life Insurance                   | _____    | _____ |
| <input type="checkbox"/> Long Term Care Insurance         | _____    | _____ |
| <input type="checkbox"/> Disability Insurance             | _____    | _____ |
| <input type="checkbox"/> Mortgage Protection Insurance    | _____    | _____ |
| <input type="checkbox"/> Business Insurance               | _____    | _____ |
| <input type="checkbox"/> Professional Liability Insurance | _____    | _____ |
| <input type="checkbox"/> Umbrella Policy                  | _____    | _____ |
| <input type="checkbox"/> Other                            | _____    | _____ |

### Income

|                                                                | Amount |
|----------------------------------------------------------------|--------|
| <input type="checkbox"/> Salaries and Wages                    | _____  |
| <input type="checkbox"/> Salaries and Wages (Spouse)           | _____  |
| <input type="checkbox"/> Self Employed Income (Net)            | _____  |
| <input type="checkbox"/> Self Employed Income (Spouse) (Net)   | _____  |
| <input type="checkbox"/> Social Security                       | _____  |
| <input type="checkbox"/> Social Security (Spouse)              | _____  |
| <input type="checkbox"/> Retirement Distributions              | _____  |
| <input type="checkbox"/> Retirement Distributions (Spouse)     | _____  |
| <input type="checkbox"/> Interest, Dividends and Capital Gains | _____  |
| <input type="checkbox"/> Rents and Royalties (Net)             | _____  |
| <input type="checkbox"/> Alimony                               | _____  |
| <input type="checkbox"/> Other                                 | _____  |

### Obligations

|                                                | Amount |
|------------------------------------------------|--------|
| <input type="checkbox"/> Mortgages             | _____  |
| <input type="checkbox"/> Credit Cards          | _____  |
| <input type="checkbox"/> Auto Loans            | _____  |
| <input type="checkbox"/> Other Notes and Loans | _____  |
| <input type="checkbox"/> Alimony               | _____  |
| <input type="checkbox"/> Other                 | _____  |

# JACQUES FINANCIAL

## FINANCIAL PLANNER

Name \_\_\_\_\_

### Risk Tolerance

|   |   |   |   |   |   |   |   |   |    |
|---|---|---|---|---|---|---|---|---|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|   |   |   |   |   |   |   |   |   |    |

← Low Risk

Moderate Risk

High Risk →

Place an "X" in the appropriate box to indicate your risk tolerance.

### Estate Information

|                                                | Last Update | Self                                                     | Spouse                                                   |
|------------------------------------------------|-------------|----------------------------------------------------------|----------------------------------------------------------|
| Do you have a Will?                            | / /         | <input type="checkbox"/> yes <input type="checkbox"/> no | <input type="checkbox"/> yes <input type="checkbox"/> no |
| Do you have a General Power of Attorney?       | / /         | <input type="checkbox"/> yes <input type="checkbox"/> no | <input type="checkbox"/> yes <input type="checkbox"/> no |
| Do you have a Medical Directive / Living Will? | / /         | <input type="checkbox"/> yes <input type="checkbox"/> no | <input type="checkbox"/> yes <input type="checkbox"/> no |
| Do you have a Revocable or Irrevocable Trust?  | / /         | <input type="checkbox"/> yes <input type="checkbox"/> no | <input type="checkbox"/> yes <input type="checkbox"/> no |

### Beneficiaries (name, relationship)

[www.joejacquescpa.com](http://www.joejacquescpa.com)

*e-mail* [joe@joejacquescpa.com](mailto:joe@joejacquescpa.com)

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